



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**Paul STIRPE, et al.**

Serial No.: 09/818,953

Filed: March 28, 2001

For: SYSTEM, METHOD AND  
APPLICATION OF ONTOLOGY  
DRIVEN INFERENCING-BASED  
PERSONALIZED SYSTEMS

Atty. Docket No.: 003433.00003

Group Art Unit: 3629

Examiner: T. SMITH

Confirmation 9620  
No.:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with Applicants' duty of disclosure as set forth in 37 C.F.R. § 1.56(a), the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO-1449 form.

Copies of the cited references are enclosed for the convenience of the Examiner.

Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application.

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP

Section 609.

07/07/2005 MBEYENE1 00000087 190733 09818953

02 FC:1806 180.00 DA

The Commissioner is authorized to charge our Deposit Account No. 19-0733 the fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p). The Commissioner is also authorized to charge any additional fees which may be required for this filing, or credit any overpayment of fees to the undersigned's Deposit Account No. 19-0733.

Respectfully submitted,

/Anthony W. Kandare, Reg.# 48,830/  
Anthony W. Kandare

Date: July 5, 2005

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

## **Complete if Known**

Application Number	09/818,953
Filing Date	March 28, 2001
First Named Inventor	Paul STIRPE, et al.
Group Art Unit	3629
Examiner Name	T. SMITH
Attorney Docket Number	003433.00003

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	6,734,886	B1	Hagan et al.	05-11-2004	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AB	EP	1 035 485	A2	NCR International	09-13-2000		

## **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AC	J. Kleinberg, "Authoritative Sources in a Hyperlinked Environment", Department of Computer Science, Cornell University, Ithaca, NY (1999)	
	AD	L. Page, S. Brin, R. Motwani, and T. Winograd, "The PageRank Citation Ranking: Bringing Order to the Web", Stanford University, Stanford, CA (1998)	

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Signature

Date  
Considered

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.